

## MODIFICATION NOTICE

<b>To</b>	KAMCO Investment Company (DIFC) Limited
<b>Address</b>	Office 205 , Level 2, Gate Village Building 1, DIFC, PO Box 507205, Dubai, UAE
<b>DFSA Firm Reference No.</b>	F002963
<b>Notice No.</b>	W574/2018

### THE DFSA HEREBY GIVES NOTICE THAT:

The Rules specified in the left hand column of Table A do not apply to the above mentioned Person in the form appearing in the Rulebook but instead apply as modified in the right hand column of the table.

### RULES MODIFIED

The Rules specified in the left hand column are modified to the extent shown in the right hand column of the table below. In this table, underlining indicates new text and striking through indicates deleted text, otherwise the Rule remains unaltered. Where a significant number of the paragraphs of the Rule remain un-altered the entire Rule may not be reproduced in the table. In such circumstances the un-altered parts of the Rule will be referred to by the relevant identifying paragraph number or letter, as the case may be.

TABLE A	
Rule	Modified Text
CIR 8.12.2 (3)	<p>...</p> <p>(3) For the purposes of (1), and in relation to Managing Assets, the Service Provider must be:</p> <p style="padding-left: 40px;">(a) a Person authorised by the DFSA to carry on the activity of Managing Assets; <del>or</del></p> <p style="padding-left: 40px;">(b) a Person who is authorised by a Financial Services Regulator in a Zone 1 jurisdiction or Recognised Jurisdiction to carry on an equivalent activity in that jurisdiction; <u>or</u></p> <p style="padding-left: 40px;"><u>(c) KAMCO Investment Company K.S.C. (Public), which</u></p>

---

---

	<u>is a Person authorised by the Capital Markets Authority in the State of Kuwait to carry on an equivalent activity in that jurisdiction.</u>
--	--

## CONDITIONS

None

## EFFECTIVE PERIOD

This notice comes into effect on the date of issue and remains in force until further notice.

## INTERPRETATION

The provisions in this notice are to be construed in accordance with GEN section 6.2 as if these provisions are provisions of the Rulebook.

Defined terms are identified in this notice by the capitalisation of the initial letter of a word or of each word in a phrase and are defined in the Glossary (GLO). Unless the context otherwise requires, where capitalisation of the initial letter is not used, an expression has its natural meaning.

## THIS NOTICE IS ISSUED UNDER ARTICLE 25 OF THE REGULATORY LAW 2004 BY:

Name: Bryan Stirewalt

Position: Managing Director, Supervision

DATE OF ISSUE: 14 August 2018