

## MODIFICATION NOTICE

<b>To</b>	<b>Mellon Global Investments Limited</b>
<b>Address</b>	Level 41, Emirates Towers, PO Box 31303, Dubai – United Arab Emirates
<b>DFSA Reference No.</b>	<b>10007</b>
<b>Notice No.</b>	<b>W 06/04</b>

### THE DFSA HEREBY GIVES NOTICE THAT:

The Rules specified in the table herein do not apply to the above mentioned Person in the form appearing in the Rulebook but instead apply to that Person in the modified form prescribed in that table.

This notice is issued by the DFSA under Article 25 of the Regulatory Law 2004.

**Effective date:** This notice comes into effect on 1 February 2005 and expires on 1 February 2007.

### RULES MODIFIED

The Rules listed in the left hand column of the table below are modified as shown in the right hand column of the table. In this table, underlining indicates new text and striking through indicates deleted text.

The version of Rules to which this notice relates is AUT/VER1/254/09-04.

Rule	Modified Text
AUT 10.3.2	(1) The Authorised Individuals referred to in Rule 10.3.1(a), (c) and (d) must be ordinarily resident in the U.A.E. (2) An Authorised Firm may <u>appoint additional Authorised Individuals, who are not ordinarily resident in the U.A.E, to conduct the Licensed Functions referred to in Rule 10.3.1 (c) and (d).</u>

## CONDITIONS

None

## INTERPRETATION

The provisions in this notice are to be construed in accordance with GEN section 6.2 as if these provisions are provisions of the Rulebook.

Defined terms are identified in this notice by the capitalisation of the initial letter of a word or of each word in a phrase and are defined in the Glossary (GLO). Unless the context otherwise requires, where capitalisation of the initial letter is not used, an expression has its natural meaning.

This notice was issued by:

Name : David King

Position : Acting Chief Executive

Date : 22 December 2004