

Appendix 3

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Prudential – Insurance Business Module

(PIN)

6 FINANCIAL AND OTHER REPORTING BY INSURERS

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6.5 Submission of Returns to the DFSA

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- 6.5.13** (1) ~~When a Return of the kind specified under Rule 6.5.2(a) or Rule 6.5.8(a) is not submitted on or before the due date or within the prescribed period, such non-submission incurs a late payment fee of \$1,000.~~
- (2) ~~Nothing in this Rule limits the right of the DFSA to take any other action.~~

Guidance

~~If a Return is not submitted by the date on which it becomes due, the Person is in breach of a Rule and the DFSA is entitled to take action including, but not limited to, taking steps to withdraw authorisation to conduct Financial Services.~~

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