

Appendix 8

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Glossary Module

(GLO)

D

Defined Term	Definition
....
<u>Default Rules</u>	<u>Rules of an Authorised Market Institution that set out procedures dealing with circumstances where a Member or other participant on its facilities is unable to meet its obligations in respect of one or more contracts.</u>
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Defined Term	Definition
....
<u>Providing Accountancy Services</u>	<u>Has the meaning given in ASP Rule 2.3.2.</u>
....
<u>Providing Legal Services</u>	<u>Has the meaning given in ASP Rule 2.3.1.</u>
....

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Defined Term	Definition
....
<u>Special Purpose Vehicle</u>	<p><u>(1) In FER 1.2.7(3) and MKT App 5, a legal entity the object and purpose of which is primarily to issue Securities; and</u></p> <p><u>(2) In any other case, A_a Body Corporate whose sole purpose, either generally or when acting in a particular capacity, is to carry out one or more of the following functions:</u></p> <p>(a) issuing Investments;</p>

Defined Term	Definition
	<p>(b) redeeming or terminating or repurchasing, whether with a view to re-issue or to cancellation, an issue, in whole or par, of Investments; or</p> <p>(c) entering into transactions or terminating transactions involving Investments in connection with the issue, redemption, termination or re-purchase of Investments; and</p> <p>and has been explicitly established for the purpose of:</p> <p>(d) securitising assets; or</p> <p>(e) investing in Real Property</p> <p>and, in the case of (d), has been assessed by a rating agency.</p>
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