

Appendix 5

In this Appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Glossary Module

(GLO)

2 GLOSSARY

C

Defined Term	Definition
Cell	A cell created by a Protected Cell Company for the purpose of segregating and protecting cellular assets in the manner provided by the <u>PCC Regulations</u> DIFC Companies Regulations .

F

Defined Term	Definition
<u>Fund on a Fund Platform</u>	<u>A Fund that is an Incorporated Cell of an Incorporated Cell Company.</u>

I

Defined Term	Definition
<u>Incorporated Cell Company (ICC)</u>	<u>A company incorporated as an Incorporated Cell Company under the ICC Regulations.</u>
<u>Incorporated Cell</u>	<u>An Incorporated Cell of an Incorporated Cell Company, created in accordance with the ICC Regulations.</u>
<u>ICC Regulations</u>	<u>The Incorporated Cell Company (ICC) Regulations made under the DIFC Companies Law.</u>

R

Defined Term	Definition
Related Party	<p>(1) In MKT, has the meaning given to that term in MKT Rule 3.5.2(a) except in relation to a Rating Subject, where it has the meaning given to it in COB Rule 8.5.2(2).</p> <p>(2) In CIR, in relation to a Fund:</p> <p>(a) its Fund Manager;</p>

Defined Term	Definition
	<p>(b) its Governing Body;</p> <p>(c) an individual director of a Corporate Director;</p> <p>(d) its Custodian;</p> <p>(e) its Trustee or other Persons providing oversight;</p> <p>(f) any Advisor;</p> <p>(g) a holder of 5% or more of the Units of the Fund; or</p> <p>(h) an Associate of a Person in (a) to (g).</p> <p>.....</p> <p><u>(4) In CIR, in relation to a Fund on a Fund Platform, any other Fund on that Fund Platform.</u></p>

S

Defined Term	Definition
Service Provider	<p>For the purposes of CIR, a Person who is delegated an activity or outsourced a function by any Fund Manager or Trustee under the terms of a Service Agreement, as described in <u>section 8.12 chapter 7</u> of CIR <u>but does not include an Incorporated Cell Company that is used by the Fund Manager to perform any function relating to a Fund that is an Incorporated Cell of that ICC.</u></p>