

## Appendix 4

In this Appendix underlining indicates new text and striking through indicates deleted text.



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# The DFSA Rulebook

## Glossary Module

### **(GLO)**

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## 1 INTRODUCTION

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### 1.2 Defined Terms

- 1.2.1** (1) In the Rulebook:
- (a) a word or phrase which is defined in this module is a defined term and has the meaning given in this module (GLO); and
  - (b) a word or phrase which relates to a defined term must be interpreted accordingly;
- (2) In the PIB, AML and FPR modules:
- (a) a word or phrase which is defined solely for the purposes of a that module ~~under PIB Rule 1.2.1~~ is also a defined term and has the meaning given in that module; and
  - (b) a word or phrase in ~~the PIB~~ that module which relates to such a defined term must be interpreted accordingly.

## A

Defined Term	Definition
<u>Agent</u>	<u>In relation to a Passported Fund, a Licensed Person appointed by the Fund Manager of the Fund to Promote the Units of that Fund.</u>

## D

Defined Term	Definition
Domestic Fund	(1) A Fund established or domiciled in the DIFC.  (2) <u>In FPR, has the meaning given in section 1.3 of those Rules.</u>

## F

Defined Term	Definition
<u>FSRA</u>	<u>The Financial Services Regulatory Authority of the Abu Dhabi Global Market.</u>
...	...
Fund	(1) A Collective Investment Fund.  (2) <u>In relation to a Passported Fund, has the meaning given in FPR section 1.3.</u>
Fund Manager	(1) The Person, described under Article 20(4) of the Collective Investment Law 2010, who is responsible for the management of the property held for or within a Fund and who otherwise operates the Fund and, in relation to a Domestic Fund, is authorised under a Licence granted by the

Defined Term	Definition
	DFSA to operate the Fund.  <u>(2) In relation to a Passported Fund, the Person licensed by a Home Regulator to manage or operate the Fund.</u>
<u>Fund Protocol Rules</u>	<u>The Fund Protocol Rules module of the Rulebook.</u>
<u>FPR</u>	<u>The Fund Protocol Rules module of the Rulebook.</u>

## H

Defined Term	Definition
<u>Home Jurisdiction</u>	<u>Has the meaning given in FPR section 1.3.</u>
<u>Home Regulator</u>	<u>Has the meaning given in FPR section 1.3.</u>
<u>Host Jurisdiction</u>	<u>Has the meaning given in FPR section 1.3.</u>
<u>Host Regulator</u>	<u>Has the meaning given in FPR section 1.3.</u>

## L

Defined Term	Definition
<u>Licensed Person</u>	<u>In relation to a Passported Fund, a Person who is licensed or otherwise authorised by the Home Regulator to Promote Funds.</u>

## P

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Defined Term	Definition
<u>Passported Fund</u>	<u>Has the meaning given in FPR section 1.3.</u>
...	...
<u>Promote</u>	<u>In relation to a Passported Fund, has the meaning given in FPR section 1.3.</u>
Public Fund	(1) <u>In relation to a Passported Fund, has the meaning given in FPR section 1.3; and</u> (2) <u>In any other case, A Fund which falls within the has the meaning given in under Article 16(1) of the Collective Investment Law 2010.</u>

**S**

Defined Term	Definition
<u>SCA</u>	<u>The Securities and Commodities Authority of the UAE.</u>