

Appendix 3

In this appendix underlining indicates new text and striking through indicates deleted text.

*This text includes amendments proposed in CP [109]: [Crowdfunding: SME Financing through Lending]



The DFSA Rulebook

Glossary Module

(GLO)

C

| Defined Term | Definition |
|------------------------------|--|
| Crowdfunding Loan Agreement | A loan agreement entered into using a Loan-based Crowdfunding Platform operated by a Person who is authorised under a Licence to Operate a Loan-based Crowdfunding Platform. |
| <u>Crowdfunding Operator</u> | <u>A Person who is authorised under a Licence to Operate a Crowdfunding Platform.</u> |
| <u>Crowdfunding Platform</u> | <u>An Investment-based Crowdfunding Platform or a Loan-based Crowdfunding Platform.</u> |

I

| Defined Term | Definition |
|---|---|
| <u>Investment-based Crowdfunding</u> | <u>An activity referred to in GEN Rule 2.29.1(3).</u> |
| <u>Investment-based Crowdfunding Operator</u> | <u>A Person who is authorised under a Licence to Operate an Investment-based Crowdfunding Platform.</u> |
| <u>Investment-based Crowdfunding Platform</u> | <u>An electronic platform or a facility referred to in GEN Rule 2.29.1(3).</u> |

L

| Defined Term | Definition |
|----------------------------------|---|
| <u>Loan-based Crowdfunding</u> | <u>An activity referred to in GEN Rule 2.29.1(2).</u> |
| Loan-based Crowdfunding Operator | A Person who is authorised under a Licence to Operate a Loan-based Crowdfunding Platform. |
| Loan-based Crowdfunding Platform | An electronic platform or a facility referred to in GEN Rule 2.29.1(2). |

O

| Defined Term | Definition |
|--|---|
| Operating a Loan-based Crowdfunding Platform | Has the meaning given in GEN Rule 2.29.1. |
| <u>Operating an Investment-based Crowdfunding Platform</u> | <u>Has the meaning given in GEN Rule 2.29.1(3).</u> |
| <u>Operating a Loan-based Crowdfunding Platform</u> | <u>Has the meaning given in GEN Rule 2.29.1(2).</u> |