

Appendix 3

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Glossary Module

(GLO)

D

.....

| Defined Term | Definition |
|--|--|
| <u>DNFBP</u> | <u>Designated Non-Financial Business or Profession</u> |
| <u>Designated Non-Financial Business or Profession</u> | Has the meaning given in DNF Rule 2.1.1. |
| <u>MLRO</u> | <u>Money Laundering Reporting Officer</u> |
| Money Laundering Reporting Officer (MLRO) | <p>(1) For the purposes of AMI , an individual who is a Director, Partner or other appropriately senior employee of an Authorised Market Institution who has responsibility for the Authorised Market Institution's compliance with the Rules in AMI chapter 11 and any relevant money laundering legislation applicable to the AMI .</p> <p>(2) <u>For the purposes of DNF, the person appointed by a DNFBP under DNF Rule 4.2.1.</u></p> <p>(2) (3) For all other purposes the Licensed Function described in AUT Rule 10.2.2(g).</p> |

.....