

**Appendix 2**

In this appendix underlining indicates new text and striking through indicates deleted text.



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# The DFSA Rulebook

## Glossary Module

### **(GLO)**

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## C

Defined Term	Definition
Certificates- <u>Certificate</u>	Has the meaning given in GEN Rule A2.1.1(d) <u>A2.2.1(d)</u> .

## D

Defined Term	Definition
Debentures- <u>Debenture</u>	<del>The Investment specified in GEN Rule A2.1.1(b).</del> <u>Has the meaning given in GEN Rule A2.2.1(b).</u>
Derivative	<del>A Future or an Option.</del> <u>Has the meaning given in GEN Rule A2.1.3.</u>
<del>Designated Investments</del>	<del>Investments which the DFSA determines satisfy the criteria specified in GEN App 2.</del>

## F

Defined Term	Definition
Futures- <u>Future</u>	<del>The Investment specified in GEN Rule A2.1.1(g).</del> <u>Has the meaning given in GEN Rule A2.3.1(b).</u>

## O

Defined Term	Definition
Options- <u>Option</u>	<del>The Investment specified in GEN Rule A2.1.1(e)</del> <u>Has the meaning given in GEN Rule A2.3.1(a).</u>

## R

Defined Term	Definition
Rights and Interests	Has the meaning given in GEN Rule A2.1.1(h).

## S

Defined Term	Definition
Security	<p>(1) <del>Shares, Debentures, Warrants, Certificates, Units or any right to or interest in any such Investment but not a right to or interest in a Derivative;</del></p> <p>(2) <del>Designated Investments ; and</del></p> <p>(3) <del>For the purposes of PIB, Security also includes Derivatives and Rights and Interests.</del></p> <p><u>Has the meaning given in GEN Rule A2.1.2.</u></p>
<u>Structured Product</u>	<u>Has the meaning given in GEN Rule A2.2.1(f).</u>
<del>Shares</del> <u>Share</u>	Has the meaning given in GEN Rule A2.1.1(a) <u>A2.2.1(a).</u>

## U

Defined Term	Definition
Unit	<del>A Unit or Share representing the rights or interests of a participants in a Fund.</del> <u>Has the meaning given in GEN Rule A2.2.1(e).</u>

## W

Defined Term	Definition
<del>Warrants</del> <u>Warrant</u>	Has the meaning given in GEN Rule A2.1.1(e) <u>A2.2.1(c).</u>