

Annex C

In this Annex, underlining indicates new text and striking through indicates deleted text.

Base capital requirements in Rule 2.4.1 is to be amended as follows:

2.4.1 The table below sets out the Base Capital Requirement.

Category	Base Capital Requirement
Category 1	US \$10 million
Category 2 other than those Category 2 Authorised Firms included elsewhere in this table.	US \$2 million
Category 3 other than those Category 3 Authorised Firms included elsewhere in this table.	US \$500,000
Categories 2 or 3 that are depositaries of mutual funds/OEICs or provide custodial services to other Collective Investment Funds.	US \$10 million
<u>Category 4</u>	<u>US \$2510,000</u>
Category 5	US \$10 million

Table 1

Prudential Category chapter 1 Capital Requirement chapter 2

