

Appendix 6

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Fees Module

(FER)

3.9 Domestic Funds (initial period)

- 3.9.1** (1) A Fund Manager must pay to the DFSA in respect of each Domestic Fund for which it is the Fund Manager the initial annual fee prescribed in (3) for the period immediately following registration or notification until the end of the year.
- (2) The initial annual fee must be paid to the DFSA:
- (a) in the case of a Public Fund, at the time of registration; and
 - ~~(b) in the case of a Private Fund, at the time of notification to the DFSA pursuant to Article 34 of the Collective Investment Law 2010; and~~
 - (be) in the case of an Exempt Fund or Qualified Investor Exempt Fund, at the time of notification to the DFSA pursuant to Article 34 of the Collective Investment Law 2010.
- (3) The initial annual fee is \$4,000.

3.10 Domestic Funds (subsequent periods)

- 3.10.1** (1) A Fund Manager must pay to the DFSA in respect of each Domestic Fund for which it is the Fund Manager the annual fee prescribed in (2) for any period after the initial period described in Rule 3.9.1.
- (2) The annual fee for any period after the initial period is \$4,000.

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