

Appendix 2

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Glossary Module

(GLO)

C

| Defined Term | Definition |
|----------------------------------|--|
| Certificates- <u>Certificate</u> | Has the meaning given in GEN Rule A2.1.1(d) <u>A2.2.1(d)</u> . |

D

| Defined Term | Definition |
|------------------------------|---|
| Debentures- <u>Debenture</u> | The Investment specified in GEN Rule A2.1.1(b). <u>Has the meaning given in GEN Rule A2.2.1(b).</u> |
| Derivative | A Future or an Option. <u>Has the meaning given in GEN Rule A2.1.3.</u> |
| Designated Investments | Investments which the DFSA determines satisfy the criteria specified in GEN App 2. |

F

| Defined Term | Definition |
|------------------------|---|
| Futures- <u>Future</u> | The Investment specified in GEN Rule A2.1.1(g). <u>Has the meaning given in GEN Rule A2.3.1(b).</u> |

O

| Defined Term | Definition |
|------------------------|--|
| Options- <u>Option</u> | The Investment specified in GEN Rule A2.1.1(e) <u>Has the meaning given in GEN Rule A2.3.1(a).</u> |

R

| Defined Term | Definition |
|----------------------|--|
| Rights and Interests | Has the meaning given in GEN Rule A2.1.1(h). |

S

| Defined Term | Definition |
|--------------------------------|---|
| Security | <p>(1) Shares, Debentures, Warrants, Certificates, Units or any right to or interest in any such Investment but not a right to or interest in a Derivative;</p> <p>(2) Designated Investments ; and</p> <p>(3) For the purposes of PIB, Security also includes Derivatives and Rights and Interests.</p> <p><u>Has the meaning given in GEN Rule A2.1.2.</u></p> |
| <u>Structured Product</u> | <u>Has the meaning given in GEN Rule A2.2.1(f).</u> |
| Shares <u>Share</u> | Has the meaning given in GEN Rule A2.1.1(a) <u>A2.2.1(a).</u> |

U

| Defined Term | Definition |
|--------------|--|
| Unit | A Unit or Share representing the rights or interests of a participants in a Fund. <u>Has the meaning given in GEN Rule A2.2.1(e).</u> |

W

| Defined Term | Definition |
|------------------------------------|---|
| Warrants <u>Warrant</u> | Has the meaning given in GEN Rule A2.1.1(e) <u>A2.2.1(c).</u> |