

Appendix 4

In this Appendix underlining indicates new text and striking through indicates deleted text.

The DFSA Rulebook

Prudential – Investment, Insurance
Intermediation and
Banking ~~Business~~ Module

(PIB)

2 CAPITAL

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2.4 Base capital requirement

2.4.1 The table below sets out the Base Capital Requirement.

Category	Base Capital Requirement
Category 1	US \$10 million
Category 2 other than those Category 2 Authorised Firms included elsewhere in this table.	US \$2 million
Category 3 other than those Category 3 Authorised Firms included elsewhere in this table.	US \$500,000
Categories 2 or 3 that are depositaries of mutual funds/OEICs or provide custodial services to other Collective Investment Funds. <u>Category 2 or 3 Acting as the Trustee of a Fund or Providing Custody for a Fund.</u>	US \$10 million <u>US \$4 million</u>
Category 4	US \$ 10,000
Category 5	US \$10 million
