

Appendix 2

In this annex underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Glossary Module

(GLO)

L

Defined Term	Definition
<u>List</u>	<u>The Official List of Securities.</u>
<u>Listed Entity</u>	<u>Has the meaning given in MKT Rule 9.1.1(2)</u>
Listed Fund	A Collective Investment Fund which has been admitted to the Official List of Securities of an Authorised Market Institution.
<u>Listed Securities</u>	<u>Any Securities which has been admitted to the Official List of Securities.</u>
<u>Listing Principles</u>	<u>Means the Rules in MKT section [9.2].</u>
<u>Listing Rules</u>	<u>The Rules in chapter [9] of MKT.</u>

.....

O

Defined Term	Definition
Official List of Securities	A list of Securities maintained by <u>the DFSA</u> an Authorised Market Institution in accordance with the Markets Law <u>[2011]. 2004</u> and the Rules in the OSR module.

.....