

Appendix 3

In this appendix underlining indicates new text.



The DFSA Rulebook

Glossary Module

(GLO)

....

2 GLOSSARY

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A

Defined Term	Definition
....
<u>Acting as the Administrator of an Employee Money Purchase Scheme</u>	<u>Has the meaning given in GEN Rule 2.31.1</u>
<u>Administrator</u>	<u>Has the meaning given in COB Rule 12.1.2</u>

....

B

Defined Term	Definition
....
<u>Beneficiary</u>	<u>Has the meaning given in COB Rule 12.1.2</u>

....

C

Defined Term	Definition
....
<u>Constitution</u>	<u>Has the meaning given in COB Rule 12.1.2</u>

....

E

Defined Term	Definition
....
<u>Employee Money Purchase Scheme</u>	<u>Has the meaning given in Schedule 1 to the Regulatory Law.</u>

....

I

Defined Term	Definition
....
<u>Investment Platform</u>	<u>Has the meaning given in GEN Rule 2.31.1</u>

....

M

Defined Term	Definition
....
<u>Member</u>	<u>Has the meaning given in GEN Rule 2.30.1</u>

....

O

Defined Term	Definition
....
<u>Operating an Employee Money Purchase Scheme</u>	<u>Has the meaning given in GEN Rule 2.30.2</u>
<u>Operator</u>	<u>Has the meaning given in COB Rule 12.1.2</u>

....

P

Defined Term	Definition
....
<u>Participating Employer</u>	<u>Has the meaning given in GEN Rule 2.30.1</u>
<u>Payment Schedule</u>	<u>Has the meaning given in COB Rule 12.1.2</u>

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R

Defined Term	Definition
....
<u>Related Party Transaction</u>	<p>(1) in MKT, has the meaning given to that term in MKT Rule 3.5.2(b).</p> <p>(2) in CIR, in relation to a Fund, means:</p> <ul style="list-style-type: none"> (a) a transaction in respect of Fund Property entered into by a Fund Manager with a Related Party; or (b) in CIR Rule 13.9.5, an arrangement referred to in that Rule relating to an index or benchmark

	<p>that is entered into by an ETF Fund Manager with a Related Party.</p> <p>(3) <u>in COB, in relation to an Employee Money Purchase Scheme, means a transaction relating to property of the Scheme entered into by an Operator or Administrator with a person who is Related to the Operator or Administrator (as the case may be);</u></p>
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S

Defined Term	Definition
.....
<u>Scheme</u>	<u>Has the meaning given in GEN Rule 2.30.1</u>

....

T

Defined Term	Definition
.....
<u>Third Party Service Provider</u>	<u>In relation to an Employee Money Purchase Scheme, has the meaning given in COB Rule 12.1.2</u>