

Appendix 7

In this Appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Fees Module

(FER)

2. APPLICATION FEES

2.1 Application for a Licence

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2.1.6 An applicant for a Licence must pay to the DFSA the following additional fee for an application for the following endorsements:

- (a) to act as a Trade Repository, \$15,000;
- (b) to carry on a Financial Service with or for a Retail Client, \$20,000-;
- (c) to use a Fund Platform, \$20,000.

Guidance

Apart from the application fees specified for particular endorsements in Rules 2.1.2, 2.1.3, 2.1.5 and 2.1.6, fees are not payable for applications for other endorsements if made at the same time as an initial application for a Licence. However, if an application for an endorsement is made after a Licence has been granted, a fee will be payable – see for example Rule 2.2.5.

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