

Appendix 7

In this Appendix underlining indicates new text and striking through indicates deleted text.

**MARKETS LAW
DIFC LAW No. 1 of 2012**

Consolidated Version
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42. **Disclosures by connected persons**

- (1) A person who becomes a connected person of a Reporting Entity shall file with the DFSA and the relevant Reporting Entity a report that meets the requirements prescribed in the Rules made for the purposes of this Article.
- (2) The DFSA shall, by Rules, prescribe:
 - (a) when a person is regarded as a “connected person” of a Reporting Entity or a Listed Fund;
 - (b) events that trigger the requirement to file the report referred to in Article 42(1) (“the report”);
 - (~~b~~c) the content and the manner of filing of the report; and
 - (~~e~~) ~~when a person is, or is not, a connected person of a Reporting Entity or a Listed Fund; and~~
 - (d) any other matter that is necessary or incidental for the purpose of giving effect to the requirements relating to the report.

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