

**Appendix 6**

In this appendix underlining indicates new text and striking through indicates deleted text.



---

---

# The DFSA Rulebook

Auditor Module

**(AUD)**

---

---



.....

## 4 GENERAL OBLIGATIONS

.....

### 4.7 Method of notification

- 4.7.1** (1) ~~Unless a Rule states otherwise, a Registered Auditor must ensure that each notification it provides to the DFSA is:~~
- ~~(a) in writing and contains the Registered Auditor's name and reference number; and~~
  - ~~(b) addressed to the attention of the Supervision Department and delivered to the DFSA.~~
- (2) ~~A Registered Auditor must ensure that it obtains confirmation of receipt from the DFSA where it provides a notification referred to in (1).~~

#### Guidance

GEN section 6.10 sets out Rules about how information is to be provided to the DFSA.

.....