

Appendix 3

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Recognition

(REC)

3 GENERAL PROVISIONS

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3.6 Communication with and notification to the DFSA

3.6.1 A Recognised Person must deal with the DFSA in an open and co-operative manner.

3.6.2 ~~Unless a Rule states otherwise, a Recognised Person must ensure that each notification and report it provides to the DFSA is:~~

- ~~(a) in writing and contains the Recognised Person's name;~~
- ~~(b) addressed for the attention of the Markets Division; and~~
- ~~(c) confirmed in writing as received by the DFSA.~~

Guidance

GEN section 6.10 sets out Rules about how information is to be provided to the DFSA.

3.6.23 A Recognised Person must ensure that any communication with the DFSA is conducted in the English language.

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