

Appendix 2

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Anti-Money Laundering, Counter-Terrorist Financing and Sanctions Module

(AML)

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14.5 Annual AML return

14.5.1 A Relevant Person which is:

- (a) an Authorised Person;
- (b) a Registered Auditor; or
- (c) a person who is a DNFBP in one of the following classes:
 - (i) a real estate developer or agency which carries out transactions with a customer involving the buying or selling of real property;
 - (ii) a law firm, notary firm, or other independent legal business;
 - (iii) an accounting firm, audit firm or insolvency firm; or
 - (iv) a company service provider,

must complete the AML Return form in AFN on an annual basis and submit such form to the DFSA within four 4 months of its financial year end, by the end of September each year. The annual AML Return shall cover the period from 1 August of the previous year to 31 July of the reporting year.

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