

Appendix 3

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Collective Investment Rules

(CIR)

15 **MARKETING OF FOREIGN FUNDS**

15.1 **Access to Foreign Funds and availability of Prospectus**

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Periodic Information to DFSA

- 15.1.10** (1) An Authorised Firm must submit to the DFSA, by the end of January each year~~within four months of the end of the Authorised Firm's financial year~~, a report regarding any Offer or Transaction in respect of a Unit of any Domestic Fund or Foreign Fund which has been made during the preceding ~~financial~~calendar year.
- (2) The report required under (1) must include the details of:
- (a) the name of the Fund and its Fund Manager; and
 - (b) if the Fund is a Foreign Fund:
 - (i) whether it is a Designated Fund and, if so, in which Recognised Jurisdiction it is authorised or approved; and
 - (ii) if it is not a Designated Fund, the other criteria under which the Authorised Firm has relied when marketing the Units of the relevant Foreign Fund.

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