

Appendix 3

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Glossary Module

(GLO)

A

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<u>Affected Person</u>	In relation to a Fund: (a) its Fund Manager; (b) its Governing Body; (c) its Custodian; (d) its Trustee or other Persons providing oversight; (e) any Advisor; (f) a holder of 5% or more of the Units of the fund; or (g) any Associate of any person in (a) to (f).
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<u>Exempt Property Fund</u>	<u>A Property Fund that is also an Exempt Fund.</u>
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<u>Money Market Fund</u>	<u>Has the meaning given in CIR Rule 3.1.11.</u>
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Related Party	<p>(1) <u>In MKT, H</u>has the meaning given to that term in MKT Rule 3.5.2(a) except in relation to a Rating Subject, where it has the meaning given to it in COB Rule 8.5.2(2).</p> <p>(2) <u>In CIR, in relation to a Fund:</u></p> <p>(a) <u>its Fund Manager;</u></p> <p>(b) <u>its Governing Body;</u></p> <p>(c) <u>its Custodian;</u></p> <p>(d) <u>its Trustee or other Persons providing oversight;</u></p> <p>(e) <u>any Advisor;</u></p> <p>(f) <u>a holder of 5% or more of the Units of the fund;</u> <u>or</u></p> <p>(g) <u>any Associate of any Person in (a) to (f).</u></p>
Related Party Transaction	<p>(1) <u>in MKT, H</u>has the meaning given to that term in MKT Rule 3.5.2(b).</p> <p>(2) <u>in CIR, in relation to a Fund, means a transaction in respect of Fund Property entered into by a Fund Manager with a Related Party.</u></p>
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