

Appendix 11

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Glossary Module

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Defined Term	Definition
Third Party Agent	In relation to a Client Account means, an Authorised Firm or Regulated Financial Institution (including a bank, custodian, an intermediate broker, a settlement agent, a clearing house, an exchange and 'over the counter' counterparty) <u>that is a separate legal entity from the Authorised Firm that is required under COB to establish the Client Account.</u>