

Appendix 9

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Markets Rules

(MKT)

6 LISTED FUNDS

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6.4 Governance requirements relating to a listed fund

~~Affected person transactions~~ Related Party Transactions

- 6.4.1** (1) The Reporting Entity of a Listed Fund must ensure that no transaction with respect to the Fund Property is entered into with ~~an Affected Person a~~ Related Party except in accordance with the procedures in (2).
- (2) For the purposes of (1), a Reporting Entity of a Listed Fund must:
- (a) if the Fund is a Domestic Fund, comply with the requirements in the Collective Investment Law 2010 and the CIR Rules relating to Related Party Transactions~~Affected Person transactions~~; and
 - (b) if the Fund is a Foreign Fund, comply with the equivalent requirements applicable to that Fund in the jurisdiction of its domicile or establishment.

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